

International Premier Portfolio from Aviva



Application for extra investment – For personal, trustee and corporate investors.

This form should be completed if you wish to make an Extra Investment to your bond.

Please read the Key Features leaflet and illustration(s) before completing this application form.

Please answer all questions fully in block capitals and tick boxes where appropriate. It is important that your answers are accurate to the best of your knowledge. Incomplete or inaccurate applications may delay investment. If you are in doubt, please contact your financial adviser. **On completion, please return all appropriate forms to Aviva Life International Limited, Montague House, Adelaide Road, Dublin 2, Ireland.**

1 Bond details

**International Premier
Portfolio number**

Name of first bondholder/trustee/
authorised signatory
(delete as appropriate)

Name of second bondholder/trustee/
authorised signatory
(delete as appropriate)

Name of third trustee/authorised
signatory *(if any)*
(delete as appropriate)

Name of fourth trustee/authorised
signatory *(if any)*
(delete as appropriate)

Residential Address

<input type="text"/>	
<input type="text"/>	
<i>Country</i>	<i>Postcode</i>

Correspondence Address

<input type="text"/>	
<input type="text"/>	
<i>Country</i>	<i>Postcode</i>

2 Extra investment details

The extra investment will be added to your existing bond when we have accepted this application form at our Head Office in Dublin and we have received cleared funds in our bank account. Please do not attach any payments to this application form, as this will cause delays. The minimum extra investment is £5,000, €7,500 or \$7,500. **You must complete the Source of Wealth Questionnaire in Section 6.**

Your extra investment will follow the same charging structure, maintenance charge and any investment adviser fee as your initial investment.

Your extra investment should be in the same currency as your Initial Investment. If not, a conversion will take place. You will have to pay the expenses involved in converting the currency, which are those charged by our bank.

2 Extra investment details – Continued

Extra investment amount

Payable by:

Telegraphic transfer *Please complete the telegraphic transfer section of the pre-printed payment form provided*

Cheque *Please complete the cheque section of the pre-printed payment form provided*

The personalised payment reference number, shown on the payment form **MUST** be entered here.

Please also attach the Aviva duplicate copy of the payment form to this application form.

3 Bank details

Any payments made to Aviva Life International Limited must be from the applicant’s bank account or by the applicant’s personal cheque. Please also note that where there is one applicant but the payments are coming from a joint bank account, we will also require money laundering verification documentation for the second bank account holder.

Please provide details of the bank/ building society account you intend to make the payment from for this investment.

Bank/building society name

Branch

Address

Bank/building society account name

Sort code – – Swift code

(for GBP payments through a UK bank) (for all GBP payments through a non-UK bank) (for all EUR and USD payments)

Bank account no

International bank account number (IBAN)

(required for all EU payments outside of the UK)

4 Investment options

The holdings chosen and the proportions shown in this section will only apply to your extra investment. If you wish to change the holdings for your existing investment you should complete an Aviva Life International Limited buy/sell instruction form.

If all or part of your International Premier Portfolio is non-discretionary managed, there are restrictions placed upon the asset categories and specific assets that are permitted in the non-discretionary portion of your bond.

For a list of assets that we have already made available for inclusion in the non-discretionary managed portion of your bond, please see the list of allowable assets on our website.

If a selected asset is not currently part of our allowable list please provide its Prospectus. In these circumstances we will not invest in the asset and we will place the money in the cash account until such time as the asset has been reviewed by us. There is a risk that your asset choice will not be accepted. If this happens, we will contact you for further instructions.

My bond is linked to the following assets and in the proportions shown. The minimum investment into each asset is £1,000, €1,500 or \$1,500 or subject to the minimum investment requirement of the fund manager or deposit taker. Your bond will also contain a cash account. The cash account will be used to fund charges and withdrawals taken from the bond.

If your bond is non-discretionary managed or less than 100% discretionary managed, please complete the investment option section below.

If your bond is being fully managed by a discretionary manager, please enter the details of your Discretionary Manager so that we can contact them directly regarding your extra investment request

Name of Discretionary Manager:

Contact telephone number:

Contact name:

4 Investment options – Continued

Investment manager	Fund name	Fund unique identifier (Sedol/ISIN/CUSIP)	Fund currency	Whole % to be invested

Deposit account – name of account	

Cash account	%
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			Total	<i>Total % must equal 100%</i>
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Please continue on a separate sheet if necessary.

All of the information requested above must be provided. Any omission could delay the placing of your deals.

Please note income from income paying funds will be credited to the cash account.

We will not make a dealing charge for carrying out the dealing instructions listed above, but a custody charge may apply. However, if you place all of your extra investment into the cash account initially any subsequent dealing instructions may be subject to a dealing and custody charge.

Any transaction requests received by 4:00pm will be processed on the same day and funds dealt the next day or at the next available opportunity. Requests received after 4:00pm will be processed on the following working day and funds dealt the day after that or at the next available opportunity.

Important Notes

It is advisable to seek legal and taxation advice prior to taking out this bond to ensure suitability. A copy of this completed application form and the bond policy documents are available on request.

If you are currently taking regular withdrawals, they will continue as normal following this extra investment.

6 Source of wealth questionnaire

Please note these details are required for all investments under anti-money laundering regulations to help prevent unlawful activity. Please note that insufficient details may delay investment and acceptance.

Please complete all sections of Part 1 and Part 2 and the declaration.

PART 1: QUESTION TO BE ANSWERED BY THE INTRODUCING FINANCIAL ADVISER

1. How long have you known the client?

2. Who introduced the client to you?

3. Is the applicant(s) a Politically Exposed Person (PEP) in accordance with local law and regulation? If yes, further due diligence may be required. Yes No

Signature of introducing financial adviser

Name of introducing financial adviser

Introducing financial company

Date

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Day		Month		Year			

***Note for financial adviser:**

We would also expect you (the introducing financial adviser) to see original documents of sale, contract notes, audited accounts, bank statements and similar documents as evidence of a legitimate source of funds. By signing the above declaration, you are confirming that you have seen evidence (e.g. contract notes) that these are legitimate sources of funds.

PART 2: QUESTIONS TO BE ANSWERED BY THE CLIENT/AUTHORISED SIGNATORIES

1. Are there any other parties indirectly involved with this application who have not already been disclosed (i.e. lender, potential borrower)?, if so please give full details: Yes No

2. Where the source of wealth for this application derives from investments, please provide the following details:

How were the savings accumulated? (Please note that we expect details of the dates and circumstances in which these savings were accumulated.)

Name of Investment(s)

Date of sale

<input type="text"/>	<input type="text"/>	Day	<input type="text"/>	<input type="text"/>	Month	<input type="text"/>	<input type="text"/>	Year
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If date of sale is over three months ago, where were funds held since?

Amount received from sale of investment(s)

If there are other owners of the Investment(s) above, other than the applicant, please tick this box and provide full names and address identification for all owners.

6 Source of wealth questionnaire - continued

PART 2: QUESTIONS TO BE ANSWERED BY THE CLIENT/AUTHORISED SIGNATORIES

3. Where the source of wealth for this application derives from savings, please provide the following details:

How were the savings accumulated?
(Please note that we expect details of the dates and circumstances in which these savings were accumulated.)

If savings are from income, please advise:

What country was the income earned in?

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Over what period of term was savings accumulated?

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Where was money held up to now?

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4. Where the source of wealth for this application is from the sale of property, please provide the following information:

Address of property

Country Postcode

Date of sale

<input type="text"/>	<input type="text"/>	Day	<input type="text"/>	<input type="text"/>	Month	<input type="text"/>	<input type="text"/>	Year
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If the date of sale is over three months ago, where have the funds been held since?

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Amount received

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If more than one sale, please indicate details on a separate sheet.

5. Where the source of wealth for this application is from inheritance, please provide the following details:

Date received

<input type="text"/>	<input type="text"/>	Day	<input type="text"/>	<input type="text"/>	Month	<input type="text"/>	<input type="text"/>	Year
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If the date of receiving your inheritance was over three months ago, where has the money has been held since?

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Amount received

£ / \$ / € (delete as applicable)

6. If the amount to be invested is inherited wealth, please provide the full name(s) of the benefactor.

6b Please provide your relationship to the benefactor.

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7. For all other sources of wealth such as gifts, compensation payments, lottery wins or anything else please provide details:
(please note that we expect detail of the dates and circumstances in which this wealth was accumulated)

8. For all other sources of wealth please provide details
(please note that we expect detail of the dates and circumstances in which this wealth was accumulated):

6 Source of wealth questionnaire - continued

Declaration to Aviva Life International Limited

I/we the company declare that to the best of my knowledge and belief, the information given in this questionnaire and its accompanying application form is true and complete.

SIGNED BY:

First bondholder / first trustee / authorised signatory

Print Name

Signature

Date

Day		Month		Year			

Second bondholder / second trustee / authorised signatory (if any)

Print Name

Signature

Date

Day		Month		Year			

Third bondholder / third trustee / authorised signatory (if any)

Print Name

Signature

Date

Day		Month		Year			

Fourth bondholder / fourth trustee / authorised signatory (if any)

Print Name

Signature

Date

Day		Month		Year			

Introducing financial adviser

Date

Day		Month		Year			

Name of Introducing financial adviser

SIGNED BY:

Introducing financial company

***Note for financial adviser:**

We would also expect you (the introducing financial adviser) to see original documents of sale, contract notes, audited accounts, bank statements and similar documents as evidence of a legitimate source of funds. By signing the above declaration, you are confirming that you have seen evidence (e.g. contract notes) that these are legitimate source of funds. If necessary, please use additional sheets.

For branch use only

Consultant code

Branch code

EILOC / SD code

Quote number

For financial adviser use only

Commission

Your email address

Aviva agency code

Your agency enhancement rate %

If you do not know your agency enhancement rate that you are entitled to, please contact Aviva's Commission and Agency Department on 0845 3002114/5

**Please complete the table below for the charging option you have selected.
For guidance, please refer to the notes on the next page.**

Initial commission	Option 1	Option 2	Option 3	Option 4	Option 5
	<p>Initial commission may be rebated to improve the product terms for your client by reduced establishment charges or increased allocation.</p> <p>Please complete ONE of the following options.</p> <p>I. Take full commission <input type="text"/></p> <p>II. Initial Commission to be given up to increase the allocation of the bond <input type="text"/></p> <p>III. Please specify how many quarters the establishment charge term is to be reduced by <input type="text"/></p> <p>IV. Initial Commission to be given up to reduce the establishment charge rate <input type="text"/></p>	<p>Any initial commission will be added to the initial charge applied to the International Premier Portfolio.</p> <p>Please enter initial commission in box below.</p> <p><input type="text"/> %</p>	<p>Initial commission may be rebated to improve the product terms for your client by reduced establishment charges or increased allocation.</p> <p>Please complete ONE of the following options.</p> <p>I. Take full commission <input type="text"/></p> <p>II. Initial Commission to be given up to increase the allocation of the bond <input type="text"/></p> <p>III. Please specify how many quarters the establishment charge term is to be reduced by <input type="text"/></p> <p>IV. Initial Commission to be given up to reduce the establishment charge rate <input type="text"/></p>	<p>The amount of initial commission taken, once calculated against the number of years the establishment charges are selected, will effect the overall establishment charge paid by the applicant(s).</p> <p>Please enter initial commission in box below.</p> <p><input type="text"/> %</p> <p>Please enter the number of WHOLE years (1-10) the establishment charges will remain payable for <input type="text"/></p>	<p>Any initial commission will be added to the initial charge applied to the International Premier Portfolio.</p> <p>Please enter initial commission in box below.</p> <p><input type="text"/> %</p>
Explicit trail commission	Any explicit trail commission agreed when originally taking out the International Premier Portfolio will continue unchanged.				

I confirm that I have fully explained the charging structures selected above including any maintenance charge to the applicant.

Signed

Date

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Day		Month		Year			

Name

**On completion, please send this form and associated documentation to:
Aviva Life International Limited, Montague House, Adelaide Road, Dublin 2, Ireland.**

To speed up your application, please fax a copy to us at: 0845 300 4424

Aviva Life International Limited, trading as Aviva, is authorised and regulated by the Central Bank of Ireland and is also authorised by the Financial Services Authority in the UK. If Aviva Life International Limited should become unable to meet its liabilities, the Financial Services Compensation Scheme will protect the eligible policyholder of an Aviva Life International Limited bond who is habitually UK resident at the time the contract commences. Registered Office: One Park Place, Hatch Street, Dublin 2, Ireland. Registered in Ireland No 303257.
www.aviva.co.uk/international

